



ASSOCIATION OF MUTUAL FUNDS IN INDIA

One Indiabulls centre, Tower 2, Wing B, 701, 7th Floor, 841, Senapati Bapat Marg,
Elphinstone Road, Mumbai – 400013

APPLICATION FORM FOR RENEWAL OF ARN/ EUIN

(FOR INDIVIDUAL ARN HOLDERS / NEW CADRE OF DISTRIBUTORS & EMPLOYEE ONLY)

PART – A

ARN /EUIN :

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1.6x1.8cm
W X H
Two color
photograph

DATE OF VALIDITY OF ARN/ EUIN :

D	D	M	M	Y	Y	Y	Y
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FIRST NAME :

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MIDDLE NAME :

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LAST NAME :

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DATE OF BIRTH :

D	D	M	M	Y	Y	Y	Y
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SEX :

F		M	
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PAN :

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GSTIN (in case of employees, GSTIN of the employer has to be mentioned) :
(Attach a copy of the GST Certificate)

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TEST/ CPE DETAILS (NISM-Series-V-A: Mutual Fund Distributors Certification Examination /NISM Series-V-A: Mutual Fund Distributors Continuing Professional Education). (To be filled in by Individual ARN Holders & Employees)

NISM PASSING/ CPE CERTIFICATE NUMBER :

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DATE OF PASSING TEST/ ATTENDING CPE :

D	D	M	M	Y	Y	Y	Y
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PART – B (Additional information to be filled in by Employees)

ARN UNDER WHICH REGISTERED AS EMPLOYEE:

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NAME OF THE ARN HOLDER UNDER WHICH REGISTERED AS EMPLOYEE:

OFFICE ADDRESS :

UNDERTAKING

(To be signed by Individual ARN Holders & Employees)

I, hereby apply for renewal of ARN/ EUIN with Association of Mutual Funds in India (AMFI), which has been allotted to me by AMFI solely for the purpose to enable me to canvass sale of products of Mutual Funds.

I hereby confirm that I am canvassing business for selling products of Mutual Funds in accordance with the Code of Conduct and Guidelines prescribed by SEBI and AMFI and shall continue to follow the same including any Rules and Regulations that may be framed or amended by SEBI and AMFI from time to time.

I undertake that any breach of Guidelines and Code of Conduct or any Rules and Regulations framed by SEBI and AMFI will render my registration liable to be cancelled.

DATE: _____

PLACE: _____

(SIGNATURE OF THE APPLICANT)

*** ATTESTED BY**

NAME OF THE AUTHORISED SIGNATORY: _____

DESIGNATION: _____

SIGNATURE WITH CORPORATE STAMP

*** NOTE: Signature of the applicant, who is corporate employee, is to be attested by authorized signatory of the corporate and corporate stamp to be affixed against the same.**

UNDERTAKING

(To be signed by New Cadre of Distributors)

I, hereby apply for renewal of ARN/ EUIN with Association of Mutual Funds in India (AMFI), which has been allotted to me by AMFI solely for the purpose to enable me to canvass sale of products of **simple and performing Mutual Fund schemes, as defined in SEBI Circular no. CIR/IMD/DF/21/2012 dated September 13, 2012.**

I hereby confirm that I am canvassing business for selling products of Mutual Funds in accordance with the Code of Conduct and Guidelines prescribed by SEBI and AMFI and shall continue to follow the same including any Rules and Regulations that may be framed or amended by SEBI and AMFI from time to time.

I undertake that any breach of Guidelines and Code of Conduct or any Rules and Regulations framed by SEBI and AMFI will render my registration liable to be cancelled.

DATE: _____

PLACE: _____

(SIGNATURE OF THE APPLICANT)

INSTRUCTIONS

- Form should be completed in all respects. None of the column should be left blank. Incomplete form shall be liable for rejection.
- All individual ARN holders are required to complete KYD process. For more details visit www.amfiindia.com.
- **Individual ARN/ EUIN holders are required to attend NISM Series-V-A: Mutual Fund Distributors Continuing Professional Education (CPE) / pass 'NISM Series V-A : Mutual Fund Distributors Certification Examination' offered by National Institute of Securities Market (NISM).**
- **New Cadre of Distributors are required to attend NISM Series V-B: Mutual Fund Foundation Continuing Professional Education Program (CPE) / pass NISM-Series-V-B: Mutual Fund Foundation Certification Examination offered by NISM.**
- **A copy of CPE certificate/ valid NISM passing certificate is required to be submitted along with application form for renewal of ARN/ EUIN.**

For more details on CPE/ certification examination, please log on to www.nism.ac.in

- The prescribed fees along with applicable GST is to be paid only by a Demand Draft (DD) in favor of 'ASSOCIATION OF MUTUAL FUNDS IN INDIA' payable at the place of CAMS center at which form is submitted. For more details about fees please visit www.amfiindia.com
- List of CAMS centers is available on AMFI Website www.amfiindia.com. You may submit the form at center convenient to you.
- Two-stamp size identical color photographs should be sent along with the form.
- Please send your application well in advance before due date for renewal.

ACKNOWLEDGEMENT

Received Renewal Form from Mr./Ms. _____
along with a Demand Draft No. _____ dated _____ for Rs. _____
(Rs. _____) being Fees for
Renewal of ARN with AMFI.

(SIGNATURE OF THE RECEIVER)