| Fields | SCHEME SUMMARY DOCUMENT | |
|---|---|---|
| 1 | Fund Name | Kotak Equity Savings Fund |
| 2 | Option Names (Regular & Direct) | Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW) |
| 3 | Fund Type | An open ended scheme investing in equity, arbitrage and debt |
| 4 | Riskometer (At the time of Launch) | Moderately High |
| 5 | Riskometer (as on Date) | Moderately High |
| 6 | Category as Per SEBI Categorization Circular | Equity Savings Fund |
| 7 | Potential Risk Class (as on date) | NA |
| 8 | Description, Objective of the scheme | The investment objective of the scheme is to generate capital appreciation and income by predominantly investing in arbitrage opportunities in the cash and derivatives segment of the equity market, and enhance returns with a moderate exposure in equity & equity related instruments. There is no assurance or guarantee that the investment objective of the scheme will be achieved. |
| 9 | Stated Asset Allocation | Equity & Equity Related instruments including derivatives- 65%-90% Of which Cash-futures arbitrage - 25%-75% of which Net long equity exposure- 15%-40% Debt & Money market Instruments (including margin for derivatives) - 10%-35% Units of Mutual Fund including Gold /Silver ETF - 0%-25% Exchange Traded Commodity Derivatives (ETCDs) -0%- 10% Units issued by InvITs- 0-10% In defensive circumstances Equity & Equity Related instruments including derivatives- 30%-65% Of which Cash-futures arbitrage - 15%-20% of which Net long equity exposure- 10%-50% Debt & Money market Instruments (including margin for derivatives) - 35%-70% Units of Mutual Fund including Gold /Silver ETF - 0%-35% Exchange Traded Commodity Derivatives (ETCDs) -0%- 10% Units issued by InvITs- 0-10% |
| 10 | Face Value | 10 |
| 11 | NFO Open Date | 17-Sep-2014 |
| 12 | NFO Close date | 01-Oct-2014 |
| 13 | Allotment Date | 13-Oct-2014 |
| 14 | Reopen Date | 17-Oct-2014 |
| 15 | Maturity Date (For closed-end funds) | NA |
| 16 | Benchmark (Tier 1) | NIFTY Equity Savings Index (TRI) |
| 17 | Benchmark (Tier 2) | - |
| 18 | Fund Manager Name | FM 1 Mr. Devender Singhal FM 2 Mr. Abhishek Bisen FM 3 Mr. Hiten Shah FM 4 Mr. Rohit Tandon |
| 19 | Fund Manager Type (Primary/Comanage/Description) | FM 1 Primary FM 2 Primary FM 3 Primary FM 4 Primary |
| 20 | Fund Manager From Date | FM 1 01/04/2021 FM 2 17/09/2014 FM 3 03/10/2019; November 02, 2026 FM 4 |
| 21 | Annual Expense (Stated maximum) | Regular 2.12, Direct 1.08 |
| 22 | Exit Load (if applicable) | For redemption / switch out of upto 8% of the initial investment amount (limit) purchased or switched in within 90 days from the date of allotment: Nil. If units redeemed or switched out are in excess of the limit within 90 days from the date of allotment: 1% If units are redeemed or switched out on or after 90 days year from the date of allotment: NIL |
| 23 | Custodian | Deutsche Bank A G, Mumbai and Standard Chartered Bank, Mumbai,Orbis Financial Corporation Limited - Haryana |
| 24 | Auditor | S. R. Batliboi & Co. LLP |
| 25 | Registrar | Computer Age Management Services ('CAMS') |
| 26 | RTA Code (To be phased out) | KESF |
| 27 | Listing Details | Not Applicable |
| 28 | ISINs | Kotak Equity Savings Fund - Direct Plan-G INF174K01D28 Kotak Equity Savings Fund - Direct Plan-Monthly - IDCW Payout INF174K01D36 Kotak Equity Savings Fund - Direct Plan-Monthly - IDCW Re-investment INF174K01D51 Kotak Equity Savings Scheme - Regular Plan-Growth-INF174K01C78 Kotak Equity Savings Scheme - Regular Plan-Monthly - IDCW Payout INF174K01C86 Kotak Equity Savings Scheme - Regular Plan-Monthly - IDCW Re-investment INF174K01D02 |
| 29 | AMFI Codes (To be phased out) | Kotak Equity Savings Fund - Direct Plan-G 131373 Kotak Equity Savings Fund - Direct Plan-Monthly - IDCW Payout - 131375 Kotak Equity Savings Fund - Direct Plan-Monthly - IDCW Re-investment- 131375 Kotak Equity Savings Scheme - Regular Plan-Growth - 131372 Kotak Equity Savings Scheme - Regular Plan-Monthly - IDCW Payout 131374 Kotak Equity Savings Scheme - Regular Plan-Monthly - IDCW Re-investment 131374 |
| 30 | SEBI Codes | KOTM/O/H/ESF/14/08/0031 |
| 31 | Minimum Application Amount | Rs. 100/- |
| 32 | Minimum Application Amount in multiples of Rs. | Any amount thereafter Rs. 100 |
| 33 | Minimum Additional Amount | Rs. 100/- |
| 34 | Minimum Additional Amount in multiples of Rs. | Any amount thereafter Rs. 100 |
| 35 | Minimum Redemption Amount in Rs. | Rs.1000 |
| 36 | Minimum Redemption Amount in Units | 100 |
| 37 | Minimum Balance Amount (if applicable) | Rs. 1000 |
| 38 | Minimum Balance Amount in Units (if applicable) | 100 |
| 39 | Max Investment Amount | NA |
| 40 | Minimum Switch Amount (if applicable) | Rs. 5000/- |
| 41 | Minimum Switch Units | Re. 0.01 |
| 42 | Switch Multiple Amount (if applicable) | NA |
| 43 | Switch Multiple Units (if applicable) | NA |
| 44 | Max Switch Amount | NA |
| 45 | Max Switch Units (if applicable) | NA |
| 46 | Swing Pricing (if applicable) | No |
| 47 | Side-pocketing (if applicable) | Enabled, No segregated portfolio created |
| 48 | SIP SWP & STP Details: Frequency | SIP - Daily, Weekly, Monthly, Quarterly, Half – Yearly and Annually SWP - Daily, Weekly, Monthly, Quarterly, Half – Yearly and Annually STP - Daily, Weekly, Monthly & Quarterly |
| 49 | SIP SWP & STP Details: Minimum amount | SIP - Rs. 100/- and any amount thereafter,SWP - Rs. 1000 STP - Rs. 1000 |

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|---|---|---|
| 50 | SIP SWP & STP Details: In multiple of | SIP - Rs. 100/- and any amount thereafter,SWP - Re. 1STP - Re. 1 |
| 51 | SIP SWP & STP Details: Minimum Instalments | SIP - N.A. SWP - 6 installments STP - 6 installments |
| 52 | SIP SWP & STP Details: Dates | SIP - Any date from 1st to 31stSWP - Any Date of Every Month STP - Any Business Day |
| 53 | SIP SWP & STP Details: Maximum Amount (if any) | Not Applicable |