

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Kotak Equity Savings Fund
2	Option Names (Regular & Direct)	Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW)
3	Fund Type	An open ended scheme investing in equity, arbitrage and debt
4	Riskometer (At the time of Launch)	Moderately High
5	Riskometer (as on Date)	Moderately High
6	Category as Per SEBI Categorization Circular	Equity Savings Fund
7	Potential Risk Class (as on date)	NA
8	Description, Objective of the scheme	The investment objective of the scheme is to generate capital appreciation and income by predominantly investing in arbitrage opportunities in the cash and derivatives segment of the equity market, and enhance returns with a moderate exposure in equity & equity related instruments. There is no assurance or guarantee that the investment objective of the scheme will be achieved.
9	Stated Asset Allocation	Equity & Equity Related instruments including derivatives- 65%-90% Of which Cash-futures arbitrage - 25%-75% of which Net long equity exposure- 15%-40% Debt & Money market Instruments (including margin for derivatives) - 10%-35% Units of Mutual Fund including Gold /Silver ETF - 0%-25% Exchange Traded Commodity Derivatives (ETCDs) -0%- 10% Units issued by InvITs- 0-10% In defensive circumstances Equity & Equity Related instruments including derivatives- 30%-65% Of which Cash-futures arbitrage - 15%-20% of which Net long equity exposure- 10%-50% Debt & Money market Instruments (including margin for derivatives) - 35%-70% Units of Mutual Fund including Gold /Silver ETF - 0%-35% Exchange Traded Commodity Derivatives (ETCDs) -0%- 10% Units issued by InvITs- 0-10%
10	Face Value	10
11	NFO Open Date	17-Sep-2014
12	NFO Close date	01-Oct-2014
13	Allotment Date	13-Oct-2014
14	Reopen Date	17-Oct-2014
15	Maturity Date (For closed-end funds)	NA
16	Benchmark (Tier 1)	NIFTY Equity Savings Index (TRI)
17	Benchmark (Tier 2)	-
18	Fund Manager Name	FM 1 Mr. Devender Singhal FM 2 Mr. Abhishek Bisen FM 3 Mr. Hiten Shah
19	Fund Manager Type (Primary/Comanage/Description)	FM 1 Primary FM 2 Primary FM 3 Primary
20	Fund Manager From Date	FM 1 01/04/2021 FM 2 17/09/2014 FM 3 03/10/2019
21	Annual Expense (Stated maximum)	Regular 2.12, Direct 1.07
22	Exit Load (if applicable)	For redemption / switch out of upto 8% of the initial investment amount (limit) purchased or switched in within 90 days from the date of allotment: Nil. If units redeemed or switched out are in excess of the limit within 90 days from the date of allotment: 1% If units are redeemed or switched out on or after 90 days year from the date of allotment: NIL
23	Custodian	Deutsche Bank A G, Mumbai and Standard Chartered Bank, Mumbai,Orbis Financial Corporation Limited - Haryana
24	Auditor	S. R. Batliboi & Co. LLP
25	Registrar	Computer Age Management Services (‘CAMS’)
26	RTA Code (To be phased out)	KESF
27	Listing Details	Not Applicable
28	ISINs	Kotak Equity Savings Fund - Direct Plan-G INF174K01D28 Kotak Equity Savings Fund - Direct Plan-Monthly - IDCW Payout INF174K01D36 Kotak Equity Savings Fund - Direct Plan-Monthly - IDCW Re-investment INF174K01D51 Kotak Equity Savings Scheme - Regular Plan-Growth-INF174K01C78 Kotak Equity Savings Scheme - Regular Plan-Monthly - IDCW Payout INF174K01C86 Kotak Equity Savings Scheme - Regular Plan-Monthly - IDCW Re-investment INF174K01D02
29	AMFI Codes (To be phased out)	Kotak Equity Savings Fund - Direct Plan-G 131373 Kotak Equity Savings Fund - Direct Plan-Monthly - IDCW Payout - 131375 Kotak Equity Savings Fund - Direct Plan-Monthly - IDCW Re-investment- 131375 Kotak Equity Savings Scheme - Regular Plan-Growth - 131372 Kotak Equity Savings Scheme - Regular Plan-Monthly - IDCW Payout 131374 Kotak Equity Savings Scheme - Regular Plan-Monthly - IDCW Re-investment 131374
30	SEBI Codes	KOTM/O/H/ESF/14/08/0031
31	Minimum Application Amount	Rs. 100/-
32	Minimum Application Amount in multiples of Rs.	Any amount thereafter Rs. 100
33	Minimum Additional Amount	Rs. 100/-
34	Minimum Additional Amount in multiples of Rs.	Any amount thereafter Rs. 100
35	Minimum Redemption Amount in Rs.	Rs.1000
36	Minimum Redemption Amount in Units	100
37	Minimum Balance Amount (if applicable)	Rs. 1000
38	Minimum Balance Amount in Units (if applicable)	100
39	Max Investment Amount	NA
40	Minimum Switch Amount (if applicable)	Rs. 5000/-
41	Minimum Switch Units	Re. 0.01
42	Switch Multiple Amount (if applicable)	NA
43	Switch Multiple Units (if applicable)	NA
44	Max Switch Amount	NA
45	Max Switch Units (if applicable)	NA
46	Swing Pricing (if applicable)	No
47	Side-pocketing (if applicable)	Enabled, No segregated portfolio created
48	SIP SWP & STP Details: Frequency	SIP - Daily, Weekly, Monthly, Quarterly, Half – Yearly and Annually SWP - Daily, Weekly, Monthly, Quarterly, Half – Yearly and Annually STP - Daily, Weekly, Monthly & Quarterly
49	SIP SWP & STP Details: Minimum amount	SIP - Rs. 100/- and any amount thereafter,SWP - Rs. 1000 STP - Rs. 1000

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50	SIP SWP & STP Details: In multiple of	SIP - Rs. 100/- and any amount thereafter,SWP - Re. 1STP - Re. 1
51	SIP SWP & STP Details: Minimum Instalments	SIP - N.A. SWP - 6 installments STP - 6 installments
52	SIP SWP & STP Details: Dates	SIP - Any date from 1st to 31stSWP - Any Date of Every Month STP - Any Business Day
53	SIP SWP & STP Details: Maximum Amount (if any)	Not Applicable