

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Axis Small Cap Fund
2	Option Names (Regular & Direct)	Direct IDCW Direct Growth Regular IDCW Regular Growth
3	Fund Type	(An open ended equity scheme predominantly investing in small cap stocks)
4	Riskometer (At the time of Launch)	Very High
5	Riskometer (as on Date)	Very High
6	Category as Per SEBI Categorization Circular	Smallcap Fund
7	Potential Risk Class (as on date)	-
8	Description, Objective of the scheme	To generate long-term capital appreciation from a diversified portfolio of predominantly equity & equity related instruments of small cap companies. There is no assurance that the investment objective of the Scheme will be achieved.
9	Stated Asset Allocation	Equity and Equity related instruments of smallcap companies - (65%-100%),Equity and Equity Related Instruments of non-small cap Companies - (0%-35%), Debt & Money Market Instruments - (0%-35%) , Units issued by InvITs - (0%-10%)
10	Face Value	10
11	NFO Open Date	11 Nov 2013
12	NFO Close date	25 Nov 2013
13	Allotment Date	29 Nov 2013
14	Reopen Date	05 Dec 2013
15	Maturity Date (For closed-end funds)	N/A
16	Benchmark (Tier 1)	NIFTY Smallcap 250 TRI
17	Benchmark (Tier 2)	Not Applicable
18	Fund Manager Name	Mr. Tejas Sheth, Mr. Mayank Hyanki, Ms. Krishnaa N
19	Fund Manager Type (Primary/Comanage/Description)	Mr. Tejas Sheth - Comanage/Domestic Equities, Mr. Mayank Hyanki - Comanage/Domestic Equities, Ms. Krishnaa N - International Equities
20	Fund Manager From Date	Mr. Tejas Sheth - 13th May 2024, Mayank Hyanki - 03rd August 2023, Ms. Krishnaa N - 01st March 2024
21	Annual Expense (Stated maximum)	Regular 1.69, Direct 0.72
22	Exit Load (if applicable)	If redeemed / switched-out within 12 months from the date of allotment:For 10% of investments: NIL.For remaining investments: 1%.If redeemed / switched-out after 12 months from the date of allotment: NIL.
23	Custodian	DEUTSCHE BANK AG
24	Auditor	B S R & Co. LLP
25	Registrar	Kfintech Ltd
26	RTA Code (To be phased out)	SC
27	Listing Details	Unlisted
28	ISINs	INF846K01K35 INF846K01K43 INF846K01K50 INF846K01K01 INF846K01K19 INF846K01K27
29	AMFI Codes (To be phased out)	125354 125351 125350 125352
30	SEBI Codes	AXIS/O/E/SCF/13/09/0016
31	Minimum Application Amount	100
32	Minimum Application Amount in multiples of Rs.	1
33	Minimum Additional Amount	100
34	Minimum Additional Amount in multiples of Rs.	1
35	Minimum Redemption Amount in Rs.	N/A
36	Minimum Redemption Amount in Units	N/A
37	Minimum Balance Amount (if applicable)	N/A
38	Minimum Balance Amount in Units (if applicable)	N/A
39	Max Investment Amount	10000000
40	Minimum Switch Amount (if applicable)	N/A
41	Minimum Switch Units	N/A
42	Switch Multiple Amount (if applicable)	N/A
43	Switch Multiple Units (if applicable)	N/A
44	Max Switch Amount	10000000
45	Max Switch Units (if applicable)	N/A
46	Swing Pricing (if applicable)	No
47	Side-pocketing (if applicable)	No
48	SIP SWP & STP Details: Frequency	SIP - Daily / Weekly / Monthly / Yearly SWP - Weekly / Monthly / Quarterly / Halfyearly / Yearly STP - Daily / Weekly / Fortnightly / Monthly / Quarterly
49	SIP SWP & STP Details: Minimum amount	SIP Daily - 10, Weekly - 100, Monthly 100.00, SIP Yearly - 12000.00 SWP - 1000 STP - Daily, Weekly, Fortnightly, Monthly - 1000 and Quarterly 3000.00
50	SIP SWP & STP Details: In multiple of	1
51	SIP SWP & STP Details: Minimum Instalments	SIP Daily -6, Weekly - 6, Monthly - 6 SIP - Yearly - 3 SWP - Weekly - 5, Monthly - 6, Quarterly - 4, Halfyearly - 4, Yearly - 2 STP - Daily -6, Weekly - 6, Fortnightly -6, Monthly - 6, Quarterly -2.
52	SIP SWP & STP Details: Dates	SIP - Except 29,30 and 31 all dates SWP - Any Business Day, STP - Daily, Weekly all days, Fortnightly - alternate Wednesday, Monthly and Quarterly 1,7,10,15 and 25
53	SIP SWP & STP Details: Maximum Amount (if any)	10000000