

| Fields | SCHEME SUMMARY DOCUMENT                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|--------|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1      | Fund Name                                        | UTI Arbitrage Fund                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 2      | Option Names (Regular & Direct)                  | Regular Plan-Growth, Regular Plan-CanServe, Regular Plan-Reinvestment of IDCW, Regular Plan-Payout of IDCW, Regular Plan-Payout of IDCW (Canserve), Direct Plan-Growth, Direct Plan-CanServe, Direct Plan-Reinvestment of IDCW, Direct Plan-Payout of IDCW, Direct Plan-Payout of IDCW (Canserve),                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 3      | Fund Type                                        | An open ended scheme investing in arbitrage opportunities. No investment in InvITs is permitted.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 4      | Riskometer (At the time of Launch)               | Low                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 5      | Riskometer (as on Date)                          | Low                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 6      | Category as Per SEBI Categorization Circular     | Hybrid - Arbitrage Fund                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 7      | Potential Risk Class (as on date)                | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 8      | Description, Objective of the scheme             | The objective of the scheme is to generate capital appreciation through arbitrage opportunities between cash and derivative market and arbitrage opportunities within the derivative segment and by deployment of surplus cash in debt securities and money market instruments. However, there can be no assurance or guarantee that the investment objective of the scheme would be achieved.                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 9      | Stated Asset Allocation                          | (1) Asset allocation under normal market circumstances: Equity & equity related instruments: 65% - 100% Derivatives including Index Futures, Stock Futures, Index Options and Stock Options*: 65% - 100% Overnight Debt Securities, CDs, government securities with maturities upto 1 year and mutual fund units of liquid, money market or schemes having Macaulay duration less than 1 year: 0% - 35% (2) The asset allocation under defensive circumstances : Equity & equity related instruments : 0% - 65% Derivatives including Index Futures, Stock Futures, Index Options and Stock Options*: 0% - 65% Overnight Debt Securities, CDs, government securities with maturities upto 1 year and mutual fund units of liquid, money market or schemes having Macaulay duration less than 1 year: 35% - 100% |
| 10     | Face Value                                       | 10                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 11     | NFO Open Date                                    | Not Available                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 12     | NFO Close date                                   | Not Available                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 13     | Allotment Date                                   | 22-Jun-2006                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 14     | Reopen Date                                      | Not Available                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 15     | Maturity Date (For closed-end funds)             | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 16     | Benchmark (Tier 1)                               | Nify 50 Arbitrage Index                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 17     | Benchmark (Tier 2)                               | Nifty 1 Year T-Bill Index                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 18     | Fund Manager Name                                | Mr Sharwan Kumar Goyal FM 1,Mr. Monish Lotia FM 2,Mr. Amit Sharma FM 3,Not Applicable FM 4                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 19     | Fund Manager Type (Primary/Comanage/Description) | Co manage (Equity) FM 1,Co manage (Equity) FM 2,Co manage (Debt) FM 3,Not Applicable FM 4                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 20     | Fund Manager From Date                           | December 2020, September 2026 and July 2018                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 21     | Annual Expense (Stated maximum)                  | Regular 1.81, Direct 1.26                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 22     | Exit Load (if applicable)                        | (A) Redemption / Switch out within 15 days from the date of allotment – 0.25 % (B) Redemption / Switch out after 15 days from the date of allotment – NIL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 23     | Custodian                                        | Stock Holding Corporation of India , Axis Bank Ltd.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 24     | Auditor                                          | S. R. Batliboi & Co. LLP.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 25     | Registrar                                        | KFin Technologies Limited                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 26     | RTA Code (To be phased out)                      | SFGPG-Regular Plan-Growth, SFGPC-Regular Plan - Growth Plan-CanServe, SFDPR-Regular Plan-Reinvestment of IDCW, SFDPD-Regular Plan-Payout of IDCW, SFDPC-Regular Plan-Payout of IDCW (Canserve), SFD2G-Direct Plan-Growth, SFD2C-Direct Plan - Growth Plan-CanServe, SFD1R-Direct Plan-Reinvestment of IDCW, SFD1D-Direct Plan-Payout of IDCW, SFD1C-Direct Plan-Payout of IDCW (Canserve),                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 27     | Listing Details                                  | Not Listed                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 28     | ISINs                                            | Regular Plan-Growth-INF789FB1RJ0, Regular Plan-Reinvestment of IDCW-INF789FB1RI2, Regular Plan-Payout of IDCW-INF789FB1RH4, Direct Plan-Growth-INF789FB1RG6, Direct Plan-Reinvestment of IDCW-INF789FB1RF8, Direct Plan-Payout of IDCW-INF789FB1RE1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 29     | AMFI Codes (To be phased out)                    | 120795-Direct Plan - Growth Option;120796-Direct Plan - IDCW;104075-Regular Plan - Growth Option;104074-Regular Plan - IDCW                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 30     | SEBI Codes                                       | UTIM/O/H/ARB/06/01/0026                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 31     | Minimum Application Amount                       | Regular Plan-Growth- Rs.5000, Regular Plan - Growth-CanServe- Rs.5000, Regular Plan-Reinvestment of IDCW- Rs.5000, Regular Plan-Payout of IDCW- Rs.5000, Regular Plan-Payout of IDCW (Canserve)- Rs.5000, Direct Plan-Growth- Rs.5000, Direct Plan - Growth-CanServe- Rs.5000, Direct Plan-Reinvestment of IDCW- Rs.5000, Direct Plan-Payout of IDCW- Rs.5000, Direct Plan-Payout of IDCW (Canserve)- Rs.5000,                                                                                                                                                                                                                                                                                                                                                                                                  |
| 32     | Minimum Application Amount in multiples of Rs.   | 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 33     | Minimum Additional Amount                        | Regular Plan-Growth-Rs.1000, Regular Plan - Growth-CanServe-Rs.1000, Regular Plan-Reinvestment of IDCW-Rs.1000, Regular Plan-Payout of IDCW-Rs.1000, Regular Plan-Payout of IDCW (Canserve)-Rs.1000, Direct Plan-Growth-Rs.1000, Direct Plan - Growth-CanServe-Rs.1000, Direct Plan-Reinvestment of IDCW-Rs.1000, Direct Plan-Payout of IDCW-Rs.1000, Direct Plan-Payout of IDCW (Canserve)-Rs.1000,                                                                                                                                                                                                                                                                                                                                                                                                            |
| 34     | Minimum Additional Amount in multiples of Rs.    | 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 35     | Minimum Redemption Amount in Rs.                 | Regular Plan-Growth-Rs.1000, Regular Growth Plan-CanServe-Rs.1000,Direct Plan-Growth-Rs.1000, Direct Growth Plan-CanServe-Rs.1000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 36     | Minimum Redemption Amount in Units               | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 37     | Minimum Balance Amount (if applicable)           | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 38     | Minimum Balance Amount in Units (if applicable)  | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 39     | Max Investment Amount                            | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 40     | Minimum Switch Amount (if applicable)            | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 41     | Minimum Switch Units                             | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 42     | Switch Multiple Amount (if applicable)           | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 43     | Switch Multiple Units (if applicable)            | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 44     | Max Switch Amount                                | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 45     | Max Switch Units (if applicable)                 | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 46     | Swing Pricing (if applicable)                    | Not Applicable                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 47     | Side-pocketing (if applicable)                   | Enabled                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |

| SCHEME SUMMARY DOCUMENT |                                                |                                                                                                                                                                                                                  |
|-------------------------|------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 48                      | SIP SWP & STP Details: Frequency               | SIP - Daily (D), Weekly (W), Monthly (M), Quarterly(Q) SWP - Monthly, Quarterly,Halfyearly (H), Yearly (Y) STP - Daily, Weekly, Monthly,Quarterly, Flexi STP - Daily (D), Weekly (W), Monthly (M), Quarterly (Q) |
| 49                      | SIP SWP & STP Details: Minimum amount          | SIP - D-500/W-500/M-500/Q-1500 SWP - M-500/Q-500/H-500/Y-500 STP - D-100/W-1000/M-1000/Q-3000 Flexi STP - D- 100/W-1000/M-1000/Q-3000                                                                            |
| 50                      | SIP SWP & STP Details: In multiple of          | SIP - Re. 1 SWP - Re. 1 STP - Re. 1 Flexi STP - Re. 1                                                                                                                                                            |
| 51                      | SIP SWP & STP Details: Minimum Instalments     | SIP - Daily - 6, Weekly - 6, Monthly - 6, Quarterly - 4 SWP - Monthly-3, Quarterly-3, Halfyearly-3, Yearly-3 STP - Daily- 20,Weekly-6,Monthly-6,Quarterly-2 Flexi STP - Daily-20,Weekly-6,Monthly-6,Quarterly-2  |
| 52                      | SIP SWP & STP Details: Dates                   | Any day                                                                                                                                                                                                          |
| 53                      | SIP SWP & STP Details: Maximum Amount (if any) | Not Applicable                                                                                                                                                                                                   |