

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Union Innovation & Opportunities Fund
2	Option Names (Regular & Direct)	Direct Plan - Growth Option, Direct Plan - Reinvestment of Income Distribution cum Capital Withdrawal (IDCW) Option, Direct Plan - Payout of Income Distribution cum Capital Withdrawal Option, Direct Plan - Transfer of Income Distribution cum Capital Withdrawal Option, Regular Plan - Growth Option, Regular Plan - Reinvestment of Income Distribution cum Capital Withdrawal Option, Regular Plan - Payout of Income Distribution cum Capital Withdrawal Option, Regular Plan - Transfer of Income Distribution cum Capital Withdrawal Option
3	Fund Type	An open-ended equity scheme investing in innovation theme
4	Riskometer (At the time of Launch)	Very High Risk
5	Riskometer (as on Date)	Very High Risk Note: The product labelling assigned during the New Fund Offer is based on internal assessment of the Scheme Characteristics or model portfolio and the same may vary post NFO when actual investments are made.
6	Category as Per SEBI Categorization Circular	Equity Scheme – Thematic Fund
7	Potential Risk Class (as on date)	Not applicable
8	Description, Objective of the scheme	The Investment Objective of the Scheme is to achieve long term capital appreciation by investing predominantly in equity and equity related securities of Innovative Companies. However, there is no assurance that the Investment Objective of the Scheme will be achieved.
9	Stated Asset Allocation	Equity & Equity related instruments including Instruments of Innovative entity(ies) : 80% - 100% of net assets, Equity and Equity Related Instruments of other than above entity(ies): 0% - 20% of net assets, Money Market Instruments, Other Liquid Instruments and other assets as permitted by SEBI: 0%-20% of net assets and Units issued by InvITs: 0% - 10% of net assets. Please refer the Scheme Information Document for more details.
10	Face Value	10
11	NFO Open Date	16 Aug 2023
12	NFO Close date	30 Aug 2023
13	Allotment Date	06 Sep 2023
14	Reopen Date	13 Sep 2023
15	Maturity Date (For closed-end funds)	Not applicable
16	Benchmark (Tier 1)	Nifty 500 Index (TRI) Nifty Benchmark Disclaimer: The “Product” offered by “the issuer” is not sponsored, endorsed, sold or promoted by NSE Indices Limited (Formerly Indian Index Services & Products Limited) (IISL). NSE Indices Limited does not make any representation or warranty, express or implied (including warranties of merchantability or fitness for particular purpose or use) and disclaims all liability to the owners of “the Product” or any member of the public regarding the advisability of investing in securities generally or in the “the Product” linked to Nifty 500 Index or particularly in the ability of the Nifty 500 Index, to track general stock market performance in India.
17	Benchmark (Tier 2)	Not applicable
18	Fund Manager Name	FM 1 Mr. Gaurav Chopra, FM 2 Mr. Sanjay Bambalkar
19	Fund Manager Type (Primary/Comanage/Description)	FM 1 Co-manage, FM 2 Co-manage
20	Fund Manager From Date	FM 1 since 01-11-2024, FM 2 since 06-09-2023
21	Annual Expense (Stated maximum)	Regular 2.37, Direct 1.01
22	Exit Load (if applicable)	1% if units are redeemed/switched out on or before completion of 1 year from the date of allotment. Nil if redeemed or switched out after completion of 1 year from the date of allotment of units.
23	Custodian	SBI-SG Global Securities Services Private Limited
24	Auditor	M/s S.R Batliboi & Co. LLP
25	Registrar	Computer Age Management Services Limited (CAMS)
26	RTA Code (To be phased out)	Union Innovation & Opportunities Fund - Regular Growth- IORG, Union Innovation & Opportunities Fund - Regular IDCW Reinvestment- IORDR, Union Innovation & Opportunities Fund - Regular IDCW Payout- IORDP, Union Innovation & Opportunities Fund - Regular IDCW Transfer- IORDT, Union Innovation & Opportunities Fund - Direct Growth- IODG, Union Innovation & Opportunities Fund - Direct IDCW Reinvestment- IODDR, Union Innovation & Opportunities Fund - Direct IDCW Payout- IODDP and Union Innovation & Opportunities Fund - Direct IDCW Transfer- IODDT
27	Listing Details	The Scheme being an open ended Scheme it is not proposed to be listed on any stock exchange. However, the Trustee reserves the right to list the units of the Scheme on any stock exchange(s) at its sole discretion at a later date.
28	ISINs	Union Innovation & Opportunities Fund INF582M01JA4- Regular Plan-Growth, Union Innovation & Opportunities Fund- INF582M01JB2-Regular Plan-IDCW Payout, Union Innovation & Opportunities Fund- INF582M01JC0- Regular Plan-IDCW Reinvestment, Union Innovation & Opportunities Fund - INF582M01JD8- Regular Plan-IDCW Transfer, Union Innovation & Opportunities Fund- INF582M01JE6- Direct Plan-Growth, Union Innovation & Opportunities Fund - INF582M01JF3- Direct Plan-IDCW Payout, Union Innovation & Opportunities Fund- INF582M01JG1- Direct Plan-IDCW Reinvestment and Union Innovation & Opportunities Fund- INF582M01JH9- Direct Plan-IDCW Transfer.
29	AMFI Codes (To be phased out)	Union Innovation & Opportunities Fund- Regular Growth- 151903, Union Innovation & Opportunities Fund- Regular IDCW Reinvestment- 151906, Union Innovation & Opportunities Fund- Regular IDCW Payout- 151906, Union Innovation & Opportunities Fund- Regular IDCW Transfer 151906, Union Innovation & Opportunities Fund- Direct Growth- 151905, Union Innovation & Opportunities Fund- Direct IDCW Reinvestment- 151904, Union Innovation & Opportunities Fund- Direct IDCW Payout- 151904 and Union Innovation & Opportunities Fund- Direct IDCW Transfer- 151904
30	SEBI Codes	UNIN/O/E/THE/23/02/0023
31	Minimum Application Amount	1000
32	Minimum Application Amount in multiples of Rs.	1
33	Minimum Additional Amount	1000
34	Minimum Additional Amount in multiples of Rs.	1
35	Minimum Redemption Amount in Rs.	1000
36	Minimum Redemption Amount in Units	Units Equivalent to Rs. 1,000 or the balance in the account of the unitholder, whichever is lower
37	Minimum Balance Amount (if applicable)	Not applicable
38	Minimum Balance Amount in Units (if applicable)	Not applicable
39	Max Investment Amount	No Limit
40	Minimum Switch Amount (if applicable)	1000
41	Minimum Switch Units	Switch in : Units equivalent to Rs. 1000 Switch out: Units Equivalent to Rs. 1,000 or the balance in the account of the unitholder, whichever is lower

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42	Switch Multiple Amount (if applicable)	Switch in: in multiples of Re. 1 Switch out: in multiples of Re. 1
43	Switch Multiple Units (if applicable)	Switch in: Units equivalent to Re. 1 Switch out: Units equivalent to Re. 1
44	Max Switch Amount	Not applicable
45	Max Switch Units (if applicable)	Not applicable
46	Swing Pricing (if applicable)	Not applicable.
47	Side-pocketing (if applicable)	Enabled but no segregated portfolio created
48	SIP SWP & STP Details: Frequency	SIP - Daily, Weekly, Fortnightly and Monthly, SWP - Daily/ Monthly/ Quarterly/ Half Yearly and Yearly, STP - Daily, Weekly, Fortnightly, Monthly, Quarterly and Half Yearly
49	SIP SWP & STP Details: Minimum amount	SIP - Rs. 100 for daily SIP, Rs. 500 for weekly SIP, Rs. 500 for fortnightly SIP, Rs. 500 for monthly SIP, SWP - Rs. 500 for all frequencies, STP - Rs. 100 for all frequencies
50	SIP SWP & STP Details: In multiple of	SIP - Rs. 1 thereof for all frequencies, STP - Rs. 1 thereof for all frequencies, SWP - Rs. 1 thereof for all frequencies.
51	SIP SWP & STP Details: Minimum Instalments	SIP - 6 instalments for all frequencies, STP - 6 instalments for all frequencies, SWP - 6 instalments for all frequencies
52	SIP SWP & STP Details: Dates	SIP - Daily (only business days) for Daily frequency, any date of the month for weekly, fortnightly and monthly frequency, STP - Daily (only business days) for Daily frequency, Monday to Friday for weekly frequency, Every Alternate Wednesday for fortnightly frequency and Any date of the month for monthly, quarterly and half yearly frequency, SWP - Daily (Only Business days) for Daily Frequency and any date of the month for monthly, quarterly, half yearly and yearly frequency
53	SIP SWP & STP Details: Maximum Amount (if any)	SIP - Not applicable, STP - Not applicable, SWP - Not applicable