

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	Kotak Banking & Financial Services Fund
2	Option Names (Regular & Direct)	Growth Payout of Income Distribution cum capital withdrawal (IDCW) Reinvestment of Income Distribution cum capital withdrawal (IDCW)
3	Fund Type	An open-ended equity scheme investing in the Banking and Financial Services sectors
4	Riskometer (At the time of Launch)	Very High
5	Riskometer (as on Date)	Very High
6	Category as Per SEBI Categorization Circular	Sectoral
7	Potential Risk Class (as on date)	NA
8	Description, Objective of the scheme	The investment objective of the scheme is to generate long-term capital appreciation from a portfolio that is invested predominantly in equity and equity related securities of companies engaged in banking and financial services sector. However, there can be no assurance that the investment objective of the scheme would be achieved.
9	Stated Asset Allocation	Investments Indicative Allocation Equity and Equity Related Instruments of companies engaged in Banking and Financial Services Sector- 80%-100% Equity and Equity Related Instruments of companies other than those engaged in banking & financial services -0%-20% Debt and Money Market Instruments-0%-20% Units of Gold/Silver ETF-0%-20% Units of InvtTs- 0%-10%
10	Face Value	10
11	NFO Open Date	February 06, 2023
12	NFO Close date	February 20, 2023
13	Allotment Date	February 27, 2023
14	Reopen Date	March 06, 2023
15	Maturity Date (For closed-end funds)	NA
16	Benchmark (Tier 1)	Nifty Financial Services Total Return Index
17	Benchmark (Tier 2)	NA
18	Fund Manager Name	FM 1 Ms. Shibani Sircar Kurian, FM 2 Mr. Abhishek Bisen
19	Fund Manager Type (Primary/Comanage/Description)	FM 1 Fund Manager for equity investment of the SchemeFM 2 Fund Manager for debt investment of the Scheme
20	Fund Manager From Date	February 27, 2023 (FM1, FM 2)
21	Annual Expense (Stated maximum)	Regular 2.24, Direct 0.80
22	Exit Load (if applicable)	For redemption / switch out within 30 days from the date of allotment: 0.5% If units are redeemed or switched out on or after 30 days from the date of allotment: NIL Units issued on reinvestment of IDCW shall not be subject to entry and exit load. Any exit load charged (net off Goods and Services tax, if any) shall be credited back to the Scheme.
23	Custodian	Deutsche Bank A G, Mumbai & Standard Chartered Bank,Orbis Financial Corporation Limited
24	Auditor	S. R. Batliboi & Co. LLP
25	Registrar	Computer Age Management Services ('CAMS')
26	RTA Code (To be phased out)	B06
27	Listing Details	Not Applicable
28	ISINs	Kotak Banking & Financial Services Fund - Direct Plan-Growth-INF174KA1MD1 Kotak Banking & Financial Services Fund - Direct Plan-IDCW Payout-INF174KA1ME9 Kotak Banking & Financial Services Fund - Direct Plan-IDCW Re-investment-INF174KA1MF6 Kotak Banking & Financial Services Fund- Regular Plan-Growth-INF174KAMA7 Kotak Banking & Financial Services Fund - Regular Plan-IDCW Payout-INF174KA1MB5 Kotak Banking & Financial Services Fund - Regular Plan-IDCW Re-investment-INF174KA1MC3
29	AMFI Codes (To be phased out)	Kotak Banking & Financial Services Fund- Direct Plan-Growth-151384 Kotak Banking & Financial Services Fund- Direct Plan-IDCW -151383 Kotak Banking & Financial Services Fund - Direct Plan-IDCW Re-investment -151383 Kotak Banking & Financial Services Fund - Regular Plan-Growth-151381 Kotak Banking & Financial Services Fund - Regular Plan-IDCW -151382 Kotak Banking & Financial Services Fund - Regular Plan-IDCW Re-investment -151382
30	SEBI Codes	KOTM/O/E/SEC/22/11/0093
31	Minimum Application Amount	Rs. 100/-
32	Minimum Application Amount in multiples of Rs.	Any amount thereafter Rs. 100
33	Minimum Additional Amount	Rs. 100/-
34	Minimum Additional Amount in multiples of Rs.	Any amount thereafter Rs. 100
35	Minimum Redemption Amount in Rs.	Rs. 1000/-
36	Minimum Redemption Amount in Units	100 unit
37	Minimum Balance Amount (if applicable)	Not Applicable
38	Minimum Balance Amount in Units (if applicable)	Not Applicable
39	Max Investment Amount	Not Applicable
40	Minimum Switch Amount (if applicable)	Not Applicable
41	Minimum Switch Units	Not Applicable
42	Switch Multiple Amount (if applicable)	Not Applicable
43	Switch Multiple Units (if applicable)	Not Applicable
44	Max Switch Amount	Not Applicable
45	Max Switch Units (if applicable)	Not Applicable
46	Swing Pricing (if applicable)	Not Applicable
47	Side-pocketing (if applicable)	Enabled, No Segregated Portfolio created.
48	SIP SWP & STP Details: Frequency	SIP - Daily, Weekly, Monthly, Quarterly, Half – Yearly and Annually SWP - Daily, Weekly, Monthly, Quarterly, Half – Yearly and Annually STP - Daily & Weekly SSIP - Monthly/Quarterly SSTP - Monthly/Quarterly
49	SIP SWP & STP Details: Minimum amount	SIP - Rs. 100/- and any amount thereafter,SWP - Rs. 1000 STP - Rs. 1000
50	SIP SWP & STP Details: In multiple of	SIP - Rs. 100/- and any amount thereafter,SWP - N.A.STP - N.A.
51	SIP SWP & STP Details: Minimum Instalments	SIP - N.A. SWP - 6 installmentsSTP - 6 installments
52	SIP SWP & STP Details: Dates	SIP - Any date from 1st to 31st SWP - Any Date of Every Month STP - Any Business Day SSIP - Any date from 1st to 31st of given month/quarter SSTP - Any Business Day (Monday-Friday) and Any Business date from 1st to 31st of given month/quarter

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53	SIP SWP & STP Details: Maximum Amount (if any)	Not Applicable