

Fields	SCHEME SUMMARY DOCUMENT	
1	Fund Name	UTI Banking and Financial Services Fund
2	Option Names (Regular & Direct)	Regular Plan-Growth, Regular Plan-Reinvestment of IDCW, Regular Plan-Payout of IDCW, Direct Plan-Growth, Direct Plan-Reinvestment of IDCW, Direct Plan-Payout of IDCW,
3	Fund Type	An open ended equity scheme investing in Banking and Financial Services Sector.
4	Riskometer (At the time of Launch)	Very High
5	Riskometer (as on Date)	Very High
6	Category as Per SEBI Categorization Circular	Sectoral
7	Potential Risk Class (as on date)	Not Applicable
8	Description, Objective of the scheme	The objective of the scheme is to generate long term capital appreciation by investing predominantly in equity and equity related securities of companies/institutions engaged in the banking and financial services activities. However, there can be no assurance or guarantee that the investment objective of the scheme would be achieved.
9	Stated Asset Allocation	Equity & equity related instruments of entities engaged in banking and financial services activities : 80% - 100% Money Market Instruments and other instruments permissible under residual portion: 0% - 20%
10	Face Value	10
11	NFO Open Date	08-Mar-04
12	NFO Close date	05-Apr-04
13	Allotment Date	07-Apr-2004
14	Reopen Date	Not Available
15	Maturity Date (For closed-end funds)	Not Applicable
16	Benchmark (Tier 1)	Nifty Financial Services TRI
17	Benchmark (Tier 2)	Not Applicable
18	Fund Manager Name	Mr. Bhavesh Kanani FM 1, Not Applicable FM 2, Not Applicable FM 3, Not Applicable FM 4
19	Fund Manager Type (Primary/Comanage/Description)	Primary FM 1, Not Applicable FM 2, Not Applicable FM 3,Not Applicable FM 4
20	Fund Manager From Date	12/01/2026 FM 1, Not Applicable FM 2, Not Applicable FM 3, Not Applicable FM 4
21	Annual Expense (Stated maximum)	Regular 2.37, Direct 1.44
22	Exit Load (if applicable)	1.00% if redeemed before 30 days from the date of allotment. Nil if redeemed on or after 30 days from the date of allotment
23	Custodian	Stock Holding Corporation of India , Axis Bank Ltd.
24	Auditor	S. R. Batliboi & Co. LLP.
25	Registrar	KFin Technologies Limited
26	RTA Code (To be phased out)	BKIGG-Institutional Plan-Growth, BKIDR-Regular Plan-Reinvestment of IDCW (Institutional), BKIDD-Regular Plan-Payout of IDCW (Institutional), BKGPG-Regular Plan-Growth, BKDPR-Regular Plan-Reinvestment of IDCW, BKDPD-Regular Plan-Payout of IDCW, BKD2G-Direct Plan-Growth, BKD1R-Direct Plan-Reinvestment of IDCW, BKD1D-Direct Plan-Payout of IDCW,
27	Listing Details	Not Listed
28	ISINs	Institutional Plan-Growth-INF789F01PF5, Regular Plan-Reinvestment of IDCW (Institutional)-INF789F01PE8, Regular Plan-Payout of IDCW (Institutional)-, Regular Plan-Growth-INF789F01372, Regular Plan-Reinvestment of IDCW-INF789F01364, Regular Plan-Payout of IDCW-INF789F01356, Direct Plan-Growth-INF789F01SN3, Direct Plan-Reinvestment of IDCW-INF789F01SM5, Direct Plan-Payout of IDCW-INF789F01SL7,
29	AMFI Codes (To be phased out)	120733-Direct Plan - Growth Option;120732-Direct Plan - IDCW;102401-Regular Plan - Growth Option;102402-Regular Plan - IDCW
30	SEBI Codes	UTIM/O/E/SEC/04/01/0022
31	Minimum Application Amount	Regular Plan-Growth- Rs.5000, Regular Plan-Reinvestment of IDCW- Rs.5000, Regular Plan-Payout of IDCW- Rs.5000, Direct Plan-Growth- Rs.5000, Direct Plan-Reinvestment of IDCW- Rs.5000, Direct Plan-Payout of IDCW- Rs.5000,
32	Minimum Application Amount in multiples of Rs.	1
33	Minimum Additional Amount	Regular Plan-Growth-Rs.1000, Regular Plan-Reinvestment of IDCW-Rs.1000, Regular Plan-Payout of IDCW-Rs.1000, Direct Plan-Growth-Rs.1000, Direct Plan-Reinvestment of IDCW-Rs.1000, Direct Plan-Payout of IDCW-Rs.1000,
34	Minimum Additional Amount in multiples of Rs.	1
35	Minimum Redemption Amount in Rs.	Not Applicable
36	Minimum Redemption Amount in Units	Not Applicable
37	Minimum Balance Amount (if applicable)	Not Applicable
38	Minimum Balance Amount in Units (if applicable)	Not Applicable
39	Max Investment Amount	Not Applicable
40	Minimum Switch Amount (if applicable)	Not Applicable
41	Minimum Switch Units	Not Applicable
42	Switch Multiple Amount (if applicable)	Not Applicable
43	Switch Multiple Units (if applicable)	Not Applicable
44	Max Switch Amount	Not Applicable
45	Max Switch Units (if applicable)	Not Applicable
46	Swing Pricing (if applicable)	Not Applicable
47	Side-pocketing (if applicable)	Enabled.
48	SIP SWP & STP Details: Frequency	SIP - Daily (D), Weekly (W), Monthly (M), Quarterly(Q) SWP - Monthly, Quarterly,Halfyearly (H), Yearly (Y) STP - Daily, Weekly, Monthly,Quarterly
49	SIP SWP & STP Details: Minimum amount	SIP - D-500/W-500/M-500/Q-1500 SWP - M-500/Q-500/H-500/Y-500 STP - D-100/W-1000/M-1000/Q-3000
50	SIP SWP & STP Details: In multiple of	SIP - Re. 1 SWP - Re. 1 STP - Re. 1
51	SIP SWP & STP Details: Minimum Instalments	SIP - Daily - 6, Weekly - 6, Monthly - 6, Quarterly - 4 SWP - Monthly-3, Quarterly-3, Halfyearly-3, Yearly-3 STP - Daily-20,Weekly-6,Monthly-6,Quarterly-2
52	SIP SWP & STP Details: Dates	Any day
53	SIP SWP & STP Details: Maximum Amount (if any)	Not Applicable