

UNDERTAKING

I/We hereby apply for grant of Certificate of Registration/ARN card by Association of Mutual Funds in India (AMFI). I/We acknowledge that Allotment of AMFI Registration Number (ARN) is solely for the purpose of enabling us to empanel with AMC for distribution of Mutual Fund Schemes outside of India.

I/We confirm that we have truthfully filled up the Form above and supplied all the information therein, which is considered relevant for the purposes of grant of Certification of Registration/ARN card. I/We shall promptly notify AMFI of any changes in the information furnished.

I/We understand that allotment of ARN by AMFI should not, in any way, be deemed to imply that AMFI takes any responsibility for any of my/ our acts as intermediary or has vouched for my/ our credentials as intermediary and I/ we shall bring this to the notice of all concerned while acting as intermediary.

I/ We undertake to comply with the extant laws, rules and regulations of jurisdictions where I/ we will carry out my/ our operations in the capacity of distributors. I/ We further undertake that I/ we will not carry on any activity of selling or marketing mutual fund schemes to any investors in India. I/ We also undertake to renew my/ our registration to undertake the activity of distribution of units/shares of funds (if applicable) in the relevant jurisdictions on time.

We undertake that act of our employees engaged in marketing Mutual Fund Products shall be binding on us and we shall be wholly and solely responsible to the investors for the same.

I/We confirm that I/ our organization is authorized to undertake distribution of Mutual Funds by the local Registration Authority.

Place :

FOR AND ON BEHALF OF

Date :

Authorized Signatory /Individual applicant

Documents required to be submitted by Overseas Distributors along with the application form:

1) Identity proof and address proof

Overseas Distributors	Proof of Identity	Proof of Address
Individuals	<ul style="list-style-type: none"> • Passport • Driver's License • National ID Card 	<ul style="list-style-type: none"> • Passport • Driving License • Voter Identity Card / National ID Card • Latest Demat/ Bank Account Statement* • Latest Utility (Electricity/ Municipal tax/ Water tax/ Land Line Telephone) Bill** • Lease / Sale Agreement of Residence • Any other government issued official papers that contain residential address.
Non-Individuals	<ul style="list-style-type: none"> • Indian PAN Card if available. (In case overseas Distributor is registered in India for e.g. as a foreign company with ROC, then Indian PAN card must be furnished) • Certificate of Incorporation • Registration Certificate / License • Authorized Signatory List 	<ul style="list-style-type: none"> • Latest Bank Account Statement / Passbook * • Latest Utility (Electricity/ Municipal tax/ Water tax/ Land Line Telephone) Bill** • Lease / Sale Agreement of Office

* Where bank account statement is submitted as proof of address, the said bank account should have been opened at least six months prior to the submission of application and the statement should not be more than 2 months old.

** Not more than 2 months old.

2) Proof of registration/ regulation in the jurisdiction(s), where the distributor propose to carry out activity of distribution of Indian Mutual Fund Schemes/ Copy of License issued by the local authority where they carry out their operations in the capacity of distributors.

3) Additionally, Corporate Distributors are required to furnish the following documents :

Copy of license/ registration issued by Local Regulatory/ Registration Authority, Memorandum and Articles of Association, Copy of Board Resolution, List of Authorized signatories with Specimen signature, any other relevant document.

4) Note:

- i) All documents should be attested by the Consulate of the Indian Embassy or foreign public Notary or by Government authorised officials who are empowered to issue Apostille Certificates.
- ii) Bank account statement, if submitted in original, should be attested with the stamp of the Bank by a duly authorized person of the bank.
- iii) Documents in languages other than English should be translated in English by professional translator carrying his details (name, signature, address) and seal OR by the foreign public notary.

5) The prescribed fees can be paid only by demand draft in favour of the 'Association of Mutual Funds in India', payable at the location of the CAMS office to which the form is submitted.

Alternatively payment can also be made through NEFT. Details as under :

Beneficiary Name : Association of Mutual Funds in India
Account Holders Name : Association of Mutual Funds in India
Name of the Bank : ICICI Bank Limited
Name of the Branch : Nariman Point
Address of the Branch : Free Press House, 215, Nariman Point, Mumbai – 400 021
Account No. : 000401109537
Account Type : Savings
IFSC Code : ICIC0000004

ACKNOWLEDGEMENT

Received Registration Form for Overseas Distributor from _____
_____ along with a Demand Draft No. _____
dated _____ for Rs. _____ Rs. _____
_____) being Fees for Registration with AMFI.

(SIGNATURE OF THE RECEIVER)